

**Selected Robert Wood Johnson Foundation Grants - 1992 to 1999**

Summary compiled by Wanda Hamilton

**Second Quarter 1999**

**Join Together** - \$2.4 million renewal award to Boston University School of Public Health.

**Partnership for a Drug-Free America** - \$15 million renewal award for media campaign.

**University of California at San Diego** - \$599,681 for survey of adolescent smoking in CA.

**Brandeis University** - \$123,670 renewal award for "assisting state policymakers in reducing youth access to tobacco".

**Tobacco Control journal support** - \$452,641 renewal award to Health Research, Inc. Buffalo, NY.

**Addressing Tobacco in Managed Care (HMOs)** - \$1.1 million to four sites.

**First Quarter 1999**

**Center for the Advancement of Health** - \$49,942 for "coordination for a youth tobacco cessation partnership."

**Entertainment Industries Council** - \$738,222 for "encouraging accurate depiction of substance abuse and addiction by the entertainment industry" (includes tobacco use).

**Morehouse School of Medicine (Atlanta)** - \$155,338 for "development and evaluation of educational materials for medical students on smoking cessation counseling".

**Addressing Tobacco in Managed Care (HMOs)** - \$932,239 - awards to 10 sites.

**SmokeLess States: Statewide Tobacco Prevention and Control Initiatives** - \$968,784 renewal awards to four sites.

**Alliance for Health Reform** - \$476,816 for "issue briefings on health policy for Washington-based policymakers and journalists."

**Northwestern University Medill School of Journalism** - \$323,592 for "journalists' briefings on health.

**Columbia University Graduate School of Journalism** - \$294,680 for "journalists' briefings on health."

**1998 Grants**

[In all categories: Total grants 944, total contracts 55, total funding \$357,966,263]

**Addressing Tobacco in Managed Care** - \$1,789,867 to 12 sites. Ongoing RWJF program to "promote adoption of innovative approaches for helping Americans enrolled in managed care organizations [HMOs] to avoid the harm caused by tobacco." Includes \$388,117 to the American Assn. of Health Plans Foundation for "National Technical Assistance Office for Addressing Tobacco in Managed Care."

**Advocacy Institute** [See also Institute for Public Policy Advocacy] - \$969,404 total for 4 projects:

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1. "Monograph on tobacco control movement leadership in the 'global settlement' negotiations and its aftermath"
2. "Analysis of policy proposals related to tobacco use by children"
3. "Planning for a tobacco control analysis project"
4. "Project to develop an advanced leadership training program in tobacco control".

**American Council on Science & Health [ACSH - Elizabeth Whelan's organization]** - \$204,465 for a "[study of the perspectives of US leaders on tobacco policy".

**American Medical Association** - \$175,520 for data "collection and analysis on effective smoking cessation interventions for adolescents".

**University of California, San Francisco** - \$49,922 for "background papers on the use of nicotine and other smoking cessation medications in pregnant and adolescent smokers".

**Center for the Advancement of Health** - \$186,802 total for 2 projects: working group on transdisciplinary tobacco prevention research AND "Coordination for youth tobacco cessation partnership.

**National Center on Addiction and Substance Abuse at Columbia University** - \$13,197,250 (3 years) [Joseph Califano's organization].

**Entertainment Industries Council, Inc.** - \$788,222 for 2 projects: NIDA 1998 PRISM awards \$50,000 and remainder for "Encouraging accurate depiction of substance abuse and addiction by the entertainment industry".

**Joseph L. Mailman School of Public Health at Columbia University** - \$47,913 for "Developing biomarker feedback for smoking cessation programs" (9 months).

**National Center for Tobacco-Free Kids** - \$225,000 for "Policymakers' conference on international tobacco control" (6 months).

**National Foundation for the Centers for Disease Control and Prevention, Inc.** (Atlanta) - \$471,714 - for "Counter-marketing tobacco use to teens initiative".

**Research Network on the Etiology of Tobacco Dependence** - \$1,249,314 to University of Kentucky Research Foundation [Richard Clayton's org] for 1 year. "Program to bring together leading researchers from a variety of perspectives and disciplines to work collaboratively in the study of the etiology of tobacco dependence in an effort to increase understanding of the development of tobacco dependence."

**Smoke-Free Families: Innovations to Stop Smoking During and Beyond Pregnancy** - An ongoing RWJF project - \$818,032 for 6 sites, including: the American Association of Health Plans [HMOs], American Cancer Society (for "dissemination project to address tobacco in pregnancy"), National Conference of State Legislatures (for "survey of state policies on smoking cessation treatment).

**SmokeLess States** (an ongoing RWJF program) - \$3,890,140 to 11 sites, including \$1 million to the Public Health Institute of Berkeley, CA and \$868,544 to the AMA for "technical assistance and direction for SmokeLess States."

**Stanford University School of Law** - \$157,115 for "Preparation and publication of a book on tobacco policies" (14 months).

**Substance Abuse Policy** (an ongoing project which emerged after tobacco policy grant program ended) - Awards generally ranging from \$100,000 to \$350,000 for 31 sites, including UCSF, Center for Media Education, Dana-Farber Cancer Institute, Harvard University School of Public Health, Health Research Inc., Univ. of Illinois at Chicago, Institute for Health Advocacy, Johns Hopkins Univ. School of Hygiene and Public Health, Columbia University's school of public health, Univ. of Michigan at Ann Arbor, Public Health Institute. "Research Program to

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provide support for investigators to conduct policy research on a variety of projects directed at helping the country reduce the harm caused by substance abuse.” Includes tobacco use.

### 1997 Grants & Contracts

[Total for all grants and contracts in all areas - \$330,914,852]

**Addressing Tobacco in Managed Care [HMOs]** - Ongoing RWJF program. \$717,755.

**American Medical Association** - \$494,068 for hosting the 11th World Conference on Tobacco and Health (42 months).

**Boston University Medical Center** - \$190,009 “National conference on the creation of statewide tobacco control programs using tobacco tax funds” (18 months).

**Center for Media Education, Inc.** - \$130,520 “Tracking and analyzing online marketing of tobacco and alcohol products”.

**Center for Science in the Public Interest** - \$185,032 “Technical assistance and training to support community alcohol policy development”.

**National Conference of State Legislatures** - \$121,824 “Monitoring state policy changes regarding the medical use of marijuana”.

**National Education Association Health Information Network [teachers’ union]** - \$499,980 “Teacher, youth, and parent tobacco control advocacy program” (2 years).

**Population Communication International, Inc.** - \$50,000 “Conference on health issues for soap opera writers and producers” (3 months).

**Research Network on the Etiology of Tobacco Dependence**, Univ. of Kentucky Research Foundation [see I998] - \$658,315 (1 year).

**The Science and Public Policy Institute** - \$25,000 “Support for Advisory Committee on Tobacco Policy and Public Health” (1 month).

**SmokeFree Educational Services Inc. [Joe Cherner’s org.] = \$50,000 Smokefree** advertising campaign on NYC taxi cabs (6 months).

**Smoke-Free Families [ongoing RWJF program - see I998]** - \$1,310,590, including \$264,264 to Emory University’s Rollins School of Public Health in Atlanta for “development of software to analyze maternal and child health mortality, morbidity, and economic cost attributable to smoking” (1 year).

**SmokeLess States [ongoing RWJF program - see I998]** - More than \$13 million generally for 3 and 4 year grants (many to ACS) to 19 sites, including \$186,608 to **American Nonsmokers’ Rights Foundation** for “Improving state and local coalitions’ knowledge about tobacco industry strategies” (2 years). Also \$946,732 to AMA for technical assistance and direction for SmokeLess States program (16 months) and \$157,855 to **Institute for Public Policy Advocacy [AKA: Advocacy Institute]**, also for “technical assistance.”

**Substance Abuse Policy Research Program** [ongoing RWJF program - see I998] grants to 27 sites, including \$259,723 to **Tobacco Control Resource Center, Inc.**, Richard Daynard for “Effective Responses to the Tobacco Industry’s Legal Challenges to Local Tobacco Control Efforts” [ID# 31610] (2 years).

**Surveillance of Youth Alcohol, Tobacco and Other Drug Use** - Ongoing program for:

FORCES International – [www.forces.org](http://www.forces.org)

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- University of Illinois at Chicago [Frank Chaloupka et al] \$13,467,454 (5 years) and \$32,546 (1 month).
- University of Michigan Institute for Social Research, Ann Arbor \$7 million (5 years).
- National Bureau of Economic Research, Inc. - \$253,030 "The impact of environmental factors on youth and young adult tobacco use" (2 years). The NBER also published Chaloupka's tax and teen smoking "study." TFK press release on this - 7/18/96.

**Tobacco Control Resource Center**, Richard Daynard - \$198,533 "Assisting state policymakers in reducing youth access to tobacco" (18 months).

**Yeshiva University, Albert Einstein College of Medicine** - \$200,000 for "Preparation of a case study on the FDA's decision to regulate tobacco (1 year).

### **Selected 1997 Contracts:**

**Investor Responsibility Research Center** - \$424,497 for "Tracking the tobacco stock divestment issue (2 years).

**New Jersey Nets** - \$191,500 "Smoking is an offensive foul" NJ Nets anti-tobacco media and education program (1 year).

**Prospect Associates** - \$51,588 - Feasibility of involving Major League Soccer in preventing tobacco use by youth (7 months).

**RWJF science conference on the prevention of tobacco use** - Judith Schector, \$10,804; Schlegel & Assoc \$14,704.

### **SmokeLess States Contracts:**

- **Mathematica Policy Research, Inc** - \$1,510,391 for "Surveys of public views on tobacco control issues for SmokeLess States grantees" (3 yrs).
- **Prospect Associates** - \$53,200 - 1997 national conference of state-level tobacco prevention professionals (4 months).
- **Strategy Communication Action, Ltd.** (NY, NY) - \$50,000 "communications support for a project to track the portrayal of alcohol, tobacco and illegal drugs in entertainment television" (6 months).

## **1996 Grants & Contracts**

**American Lung Association** - \$200,000 "Education about preemption of local laws and its impact on tobacco regulation" (1 year).

**American Medical Association** - \$29,855 "Planning for the 11th World Conference on Tobacco and Health" (6 months).

**Boston University School of Public Health** - \$50,000 "Development of a National Tobacco Control World Wide Web Site (1 year). This was for the development of QuitNet for Join Together.

**Center for the Advancement of Health** - \$49,699 "Managed care [HMOs] performance indicators for prevention and treatment of tobacco use and addiction" (6 months).

**Center for Science in the Public Interest** - \$78,000 "Development of a community resource guide to address off-campus binge drinking" (1 year).

**Dana-Farber Cancer Institute, Inc.** - \$49,981 "Dissemination of the federal AHCPH Guidelines on Smoking Cessation to organized labor" (6 months).

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**Educational Broadcasting Corporation** - \$4,380,107 “Production, promotion and outreach for a public television series on addiction and recovery” (19 months). This highly advertised program, hosted by Bill Moyers, also prominently featured tobacco “addiction” in one of its segments.

**Hedrick Smith Productions, Inc.** - \$150,000 “Outreach for a PBS series using the tobacco lobby as an example of systemic problems facing government” (8 months).

**National Center for Tobacco-Free Kids** - “Program to support a national campaign to reduce youth tobacco use through the establishment of a center to develop a national strategy, serve as a media center, provide technical assistance, and broaden organizational support to reduce youth tobacco use”

- American Cancer Society - \$489,890 (4 months)
- National Center for Tobacco-Free Kids - \$19,510,110 (5 years)

**National Foundation for the Centers for Disease Control and Prevention, Inc.** - \$451,185 “Research on racial and gender differences in teen smoking” (1 year)

**Partnership for a Drug-Free America, Inc.** NY,NY - \$10,499,534 “Continuation of a media campaign to reduce demand for illegal drugs” (3 years).

**Pinney Associates, Inc.,** Bethesda, MD - \$72,000 “Conference on policy issues related to implementation of AHCPR’s smoking clinical practice guidelines of smoking cessation” (9 months)

**Research Network Initiative on the Etiology of Tobacco Dependence,** Univ. of Kentucky Research Foundation [see 1997 and 1998] - \$235,374 (1 year) This was the first year for planning and developing this network, which came to fruition in 1999 funded by NCI and RWJF in “partnership.”

**St. Peter’s Medical Center (RWJ Medical School)** - \$46,531 “Development of a loaner service for exhibits and related materials about tobacco products and promotions” (1 year) - **AND** \$27,883 “Meeting to explore public health implications of *alternative nicotine delivery devices* (1 year).

**Smoke-Free Families: Innovations to Stop Smoking During and Beyond Pregnancy [ongoing RWJF program]:**

- **University of Alabama at Birmingham School of Medicine** - \$484,167 “technical assistance and direction” (1 year).
- **Foundation for State Legislatures** - \$19,137 “*Survey of state Medicaid and health insurance policies regarding reimbursement for smoking cessation treatment*” (3 months).
- **SmokeLess States (ongoing RWJF program)** - Varying awards for varying periods for 12 sites, including \$748,595 to AMA for technical assistance and direction; \$147,529 to Institute for Public Policy Advocacy also for technical assistance.

### 1996 Contracts

**American Medical Association** - \$95,300 “Media briefing on the hazards of tobacco use” (1 month), and \$70,893 “Primary care practitioners’ pocket guide on AHCPR smoking cessation guideline” (3 months).

**Business Communications** - \$17,000 “Communications support for a conference on AHCPR’s smoking cessation guidelines (4 months) **AND** \$5,000 “Media resource guide on tobacco” (1 month).

**Hayes, Domenici & Associates** - \$65,522 conference on women and smoking (8 months).

**New Jersey Nets** - \$164,000 “National Basketball Association program to educate youth about the health risks of tobacco use” (1 year).

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**New Sounds Inc.** - \$27,000 Production and distribution of radio spots on tobacco (3 months).

**Pinney Associates** [Jack Henningfield of Johns Hopkins is associated and John Pinney is a consultant to Smith-Klein and is a former head of CDC's Office on Smoking and Health] - \$36,750 "Working group on tobacco dependence treatment policy" (5 months) and \$35,500 for preparation of proceedings from the conference on AHCPR's Smoking Cessation Guidelines (1 year).

**Pyramid Communications** (Seattle, WA) - \$197,843 for conference on the Science of Preventing Tobacco Use (10 months).

**Roswell Park Cancer Institute** [*M. Cummings is there*] - \$23,309 "Technical assistance on RWJF tobacco control policy and program initiatives and evaluations" (1 year).

**Strategic Consulting Services** - \$12,175; facilitator for the RWJF Science Conference on the prevention of tobacco use (8 months).

**Tobacco Policy Research and Evaluation Program** - "Program to provide support for investigators to conduct policy research on projects aimed at helping public and private policymakers adopt policies to reduce tobacco use in this country, especially among children and youth."

**Miller & Associates, Oakland, CA** - \$8,000 for "Review of econometric model that estimates the costs of smoking" (1 month).

### 1995 Grants

**American Cancer Society, Inc.** - \$499,900 "Public education campaign on the health benefits of tobacco product taxes" (1.5 years).

**American Medical Association** - \$453,154 "Coordinating committee to prevent tobacco use by youth" (6 months).

**Audits & Surveys, NY, NY** - \$673,300 (contract) "National study in support of youth anti-tobacco programs" (1 year).

**Boston University School of Public Health** - \$5,499,212 for national resource for community substance abuse initiatives (3 yrs). Join Together.

**Center on Addiction and Substance Abuse at Columbia University** - \$2,000,000 continued funding for the Center (2 years).

**The Cultural Environment Movement, Philadelphia PA** - \$491,273 for alcohol, tobacco, and illegal drugs in the media mainstream: trends and content (2 years).

Development Communications Associates Inc., Boston MA - \$270,000 (contract) for resource development for a national public education effort to reduce tobacco use by youth (1 year). [See also RWJF Grant Results 1995 American Communication Foundation].

**Free To Grow: Head Start Partnerships to Promote Substance-Free Communities** - This is a continuing RWJF program, but among the entries in 1995 are three very odd ones:

- **HMO Group, Inc., New Brunswick NJ** - \$199,793 for collaborative HMO effort to reduce tobacco use among youth (2 yrs)
- **Harvard University School of Public Health, Boston MA** - \$89,798 for technical assistance to college administrators on binge drinking issues (5 months).

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- **Harvard University School of Public Health, Boston MA** - \$50,000 for “Research on the tobacco industry’s 35-year public relations strategy” (1 yr). A Feb, 1998 RWJF “Grant Results Report” reveals that this grant “co-funded the Harvard Book Project on Tobacco and Health” which produced: *“SMOKESCREEN: The Truth Behind the Tobacco Industry Cover-up by Philip J. Hilts, a correspondent on health and science policy for The New York Times who was a fellow at the Harvard School of Public Health’s Center for Health Communication.”* It was published in 1996 by Addison Wesley.

**St. Peter’s Medical Center [RWJ Medical School]** New Brunswick, NJ - \$398,000 for statewide model on treating tobacco addiction in drug and alcohol treatment settings (2 yrs).

**Smoke-Free Families: Innovations to Stop Smoking During and Beyond Pregnancy**, an ongoing RWJF program. Awards ranging from \$200,000+ to \$400,000+ (most for 2 yrs) for 11 different sites.

**SmokeLess States: Statewide Tobacco Prevention and Control Initiatives**, an ongoing RWJF program - \$4,322,488 to 5 sites. Primary benefactor is ACS, Arizona Division, which got \$3,175,823 (5 yrs). Includes \$472,070 to AMA for technical assistance for one year and \$140,000 to *Institute for Public Policy Advocacy [AKA: Advocacy Institute]* also for technical assistance for one year.

**Tobacco Control Resource Center, Inc.** [Richard Daynard’s organization] - \$92,650 for meeting “for state attorneys general and public health commissioners on tobacco control among youth” (6 months).

**Tobacco Policy Research and Evaluation Program**, ongoing RWJF program, which supports “projects that will produce policy-relevant information about ways to reduce tobacco use in the United States:

- **Montefiore Medical Center** - \$222,173 (1.5 yrs) Peter S. Arno.
- **St Peter’s Medical Center [RWJ Medical School]** New Brunswick NJ - **John Slade**, \$84,013 (1 yr) for “Analysis of Whether Tobacco Meets the Legal Definition of a Drug”.
- **Stanford University School of Law - \$110,714**
- “Eight projects providing a variety of support services for Foundation programs to promote health and prevent disease by reducing harm caused by substance abuse. \$372,117 (contracts).” No further specifications.

### 1994 Grants

[Total for all grants in all areas - \$180,510,763. In 1994 focus in Substance Abuse area was illicit drugs, with somewhat less focus on alcohol abuse and tobacco use]

**Carter Center, Atlanta GA** - \$91,000 for consensus conference on policy options to prevent tobacco use among children and youth (4 months).

**Mathematica Policy Research, Inc.**, Princeton NJ - \$142,600 for a national tobacco survey (16 months). Note: Mathematica Policy Research actually got a total of \$1.3 million to conduct surveys and “to help the various coalitions [SmokeLess States and anti-tobacco coalitions] disseminate their results.” The RWJF “recognized that a national survey to gauge public acceptance of a variety of policy options, and an accompanying effort to get the results out to government, the media, other researchers, and the general public, could support the policy goals of its SmokeLess States Program.” And indeed it did. When the survey was released, there was much media coverage and *David Kessler, former head of FDA, said the survey “played a critical role in winning the necessary support for the FDA’s tobacco control policy.”*

**Partnership for a Drug-Free America, Inc.**, ongoing RWJF project - \$7,500,000 (3 years).

**SmokeLess States** - Various awards in this ongoing RWJF program.

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**Tobacco Policy Research and Evaluation Program**, an ongoing RWJF program that supports “projects that will produce policy-relevant information about ways to reduce tobacco use in the United States.” Among the grantees are:

- **UC at Berkeley, School of Social Welfare** - \$83,122 (11 months) Leonard S. Miller, “Determining Current Costs of Cigarette Smoking.”
- **UC at San Diego** - \$94,144 (1 year)
- **Health Research, Inc.**; M. Cummings associated with this- \$126,593 (2 years). “Environmental and Policy Influences on Tobacco Use”
- **Tobacco Control Resource Center, Inc.**, Richard Daynard - \$113,804 (1.5 years) for “Analysis of the Implications of the Americans with Disabilities Act for Environmental Tobacco Smoke Policy”
- **University of Wisconsin, Madison, Law School** - \$288,967 (2 years). Marc Galanter, Director, Institute for Legal Studies, for “Assessing the Potential Contribution of Lawsuits in Controlling Tobacco Risks”
- **Stanford University School of Law** - \$62,974 for technical assistance and direction for the Tobacco Policy Research and Evaluation Program (1year).
- **UC at San Francisco, School of Medicine** - \$280,517 for “Quality of research on environmental tobacco smoke by different sponsors” (2.5 years). Lisa Bero of the Institute for Health Policy Studies was the principal investigator and this “study” resulted in a publication in JAMA some years later.
- **North Bay Health Resources Center, Inc.** *Rick Kropp*, Petaluma CA - \$255,940 for study of ways to reduce tobacco sales to minors (2 yrs).
- **Ron Davis, CHPDP, Henry Ford Center** - Public Opinion poll in Michigan.

### 1993 Grants

[Total grants for all areas - \$135,524,432. In 1993, RWJF authorized \$10 million for the SmokeLess States Program, but there were comparatively few grants on the general grant list for 1993 which were specifically for tobacco-related topics]

**American Medical Association** - \$462,432 for technical assistance and direction for SmokeLess States (1 year).

**American Medical Association** - \$19,184 for “support for coordinating committee for a world conference on smoking” (16 months).

**University of Alabama at Birmingham School of Public Health** - \$47,331 for “study of the impact of excise taxes on tobacco use (9 months).

**Burley Tobacco Growers Cooperative Assoc.**, Lexington KY - \$50,000 for “development of an economic transition plan for tobacco-growing communities” (9 months). [See also Media Guide for K. Warner’s “so-what” “study”].

**Harvard University, School of Public Health**, Boston MA - \$122,287 for “enhancement of the substance abuse component of an antisocial behavior study” (7 months).

**Harvard University, School of Public Health** - \$108,411 for “opportunities for public service campaigns against tobacco and alcohol” (1 year).

**Institute for Public Policy Advocacy**, Washington DC - \$49,997 for “assessing options for learning from tobacco control in other countries” (6 months) Grant I.D.# 22008. Note: One can only surmise that the “**Institute for Public Policy Advocacy**” and “**The Advocacy Institute**” are one and the same because Advocacy Institute’s name (as well as that of A.I. director Michael Pertschuk) appears on the heading of the Jan 1997 grant outcome summary which gives the same grant number and states, “The Program Officer [for RWJF] wrote the Advocacy Institute in May 1993 directing them away from exploring international opportunities in tobacco control in general and telling them to focus only on those that specifically had the potential to benefit tobacco control in the United States. The grant reflected this narrower focus.” There is also a note in the body of the summary, which states

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parenthetically: "It should be noted that no official proposal from the Advocacy Institute exists in the Foundation file."

**St. Peter's Medical Center, RWJ Medical School**, New Brunswick NJ - \$50,000 for "review of government agencies jurisdiction over tobacco products"(1 year) - *John Slade*.

**Stanford University School of Law** - \$246,731 for "Technical assistance and direction for the Tobacco Policy Research and Evaluation Program"(1 year). Under this ongoing RWJF program, various universities and other orgs get varying degrees of money for "tobacco policy research and evaluation." Not all grantees are always listed.

**George Washington University Medical Center** - \$268,339 for "evaluation of the SmokeLess States Program - Phase I"(1 year).

**American Cancer Society, Atlanta** - \$400,373 for "public education campaign on the benefits of taxes on tobacco products" (16 months).

**American Lung Association of Sacramento - Emigrant Trails** - \$60,000 for "Conference on state tobacco taxes for key health officials" (16 months). This was the Seize the Initiative Conference that trained anti-tobacco workers to lobby for tobacco taxes and other anti-tobacco legislation at the state level. RWJF apparently helped with transportation costs for a number of the attendees. This conference was also partly funded by the Centers for Disease Control.

**United Nations Association of the United States of America**, Inc, NY, NY - \$49,900 for "International conference on global drug policy" (10 months).

### 1992 Grants

Total for grants & contracts for all programs: \$225.8 million.

*This was the first year that RWJF moved heavily into substance abuse and especially into tobacco control. CEO Shroeder's 1992 financial report statement emphasized substance abuse and particularly tobacco as a "plague," a "scourge" and focused a good deal on medical costs. He announced that in 1992 substance abuse had become one of RWJF's four target areas, and 23% of RWJF funding went toward this goal (\$51.4 million).*

*RWJF total assets in 1992: \$3,730,405 [investments in J&J stock value =2,297,316]*

*RWJF total assets in 1991: \$4,081,388 [investments in J&J stock value = \$2,604,383]*

**RWJF grant for STAT [Stop Teenage Addiction to Tobacco]** - STAT, a "non-traditional" program was granted \$195,332 for 43 months (2/1/92 to 8/31/95). The Grant Results Report (May 1997) states: "In the early 1990s, RWJF added substance abuse-including tobacco-use [sic] to its lists of goal areas." Shortly thereafter, in July, 1991, RWJF approved funding for Stop Teenage Addiction to Tobacco.

**University of Michigan, Ann Arbor, Institute for Social Research**, Kenneth Warner - \$326,800 (43 mos., 3/1/92 - 9/1/95) for "Worksite Smoking Cessation Programs: Health, Economic and Demographic Implications"

**St. Peter's Medical Center, RWJ Medical School**, New Brunswick NJ - \$534,663 (3 yrs) for "Treating tobacco addiction in drug and alcohol treatment settings" a statewide "training program in NJ to help drug and alcohol treatment agencies address the nicotine dependence that frequently accompanies other addictions."

**Consumers Union of United States, Inc.**, Consumer Reports - \$50,000 for "Research to enable revision of a substance abuse source book (15 months).

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**Institute for Public Policy Advocacy [AKA: Advocacy Institute]** - \$140,926 for “Development of an effective dissemination strategy for tobacco policy information” (16 months)

**University of Massachusetts Medical Center**, Worcester MA - \$25,000 for “Study of health effects on children from others’ tobacco use (1 year).

**University of Michigan, School of Public Health, Ann Arbor** - K. Warner. \$332,417 for “Research on implications of workplace smoking cessation programs” (2 years). This program funded “*Development of a computer simulation model to evaluate the health, economic and demographic effects of workplace smoking cessation programs*”.

**National Academy of Sciences, Institute of Medicine, Washington DC** - \$350,000 for “Study of nicotine dependence prevention in children and adolescents” (1.5 years).

**Stanford University, School of Law** - \$240,379 for “Technical assistance and direction for the Tobacco Policy Research and Evaluation Program” (1 year). This seems to be the first year this program was funded.

**University of Colorado** - \$195,333 for “Evaluation of a four-community project to reduce adolescent tobacco use [STAT]” (3 years).

**American Lung Association** - \$145,499 for “Smoking and lung disease prevention curriculum for vocational students” (1 years).

**The Carter Center, Emory University** - \$78,340 for “Development of a Tobacco Tax Policy Task Force” (6 months).

**The Scott Newman Center**, Los Angeles CA - \$250,000 for “Educating youth regarding alcohol and tobacco advertising (15 months).

**The Center on Addiction and Substance Abuse [CASA], New York, Joseph Califano’s organization. Initial funding.** - \$6 million (3 yrs)

**Partnership for a Drug-Free America, Inc.** - \$3 million “Media campaign to reduce demand for illegal drugs” (2 years).

**National Academy of Sciences, Institute of Medicine** - \$345,000 for “Technical assistance and direction for the Health Policy Fellowships Program” (1 year) #18555.

**American Academy of Arts and Sciences**, Cambridge MA - \$50,000 for “Planning for an initiative to seek more prominence for children’s issues” (1 year).

**National Academy of Sciences, Institute of Medicine, Washington DC** - \$365,000 for “Study of the future of dental education in the United States” (2 year).

**The American Political Network, Inc. Falls Church VA** - \$647,008 for “Daily news service on health care issues “ (1 year).

**WGBH Educational Foundation, Boston MA** - \$10,189,229 for “Support for PBS’s ‘The Health Quarterly’ television series” (3 years).

## **Anti-Tobacco Advocates & Researchers**

**[\* Denotes documented RWJF funding]**

Summary compiled by Wanda Hamilton

**Ahluwalia, Jasjit\***- Dept of Preventive Medicine and Dept. of Internal Medicine, School of Medicine, University of Kansas, Kansas City. RWJF Generalist Physician Faculty Scholars Award and recipient of honoraria and grant support from Glaxo Wellcome (makers of Zyban), SmithKline Beecham (makers of Nicorette and Nicoderm) AND Johnson & Johnson's McNeil Consumer Products (makers of Nicotrol and other cessation products). Chair of Nominations Committee for Society for Research on Nicotine and Tobacco [SRNT].

**Altman, David\*** - At Bowman Grey School of Medicine, Department of Public Health in Winston-Salem, NC. Served as a consultant and helped administer RWJF's "Tobacco Policy Research & Evaluation Program."

**Arno, Peter S.\*** - Associate Professor, Dept. of Epidemiology and Social Medicine, Montefiore Medical Center in the Bronx. Listed in RWJF's media guide for "Ethical, social and Public Health Implications of Regulating Tobacco," which was a "study" of the tobacco industry's influence in weakening anti-tobacco legislation and litigation (and even the 60 Minutes Wigand show). Arno's study blames tobacco industry campaign funding.

**Benowitz, Neal**- Prof. of medicine, UC San Francisco. A reviewer for Tobacco Control. A member discussion group chair for Society for Research on Nicotine and Tobacco [SRNT].

**Bero, Lisa\***- Assistant Professor, Institute for Health Policy Studies, UC San Francisco. A colleague of and sometimes co-publisher with Stanton Glantz. Listed in RWJF media guide for "Quality of Research on Environmental Tobacco Smoke by Different Sponsors."

Bero has been given substantial RWJF for this project, the results of which were published in JAMA in 1999. Basically the "study" says any studies conducted with tobacco funding are bad, but those conducted with other funding (ostensibly including pharmaceutical money from RWJF) are good. A reviewer for Tobacco Control.

**Biener, Lois\***- Senior Research Fellow, University of Massachusetts at Boston Center for Survey Research, Boston MA. Listed as a media contact in RWJF's guide for "Survey on Responses to the Massachusetts Tobacco Control Program." The survey would, among other things, "determine the characteristics of smokers who are most responsive to media messages and to determine which segments of the population are most likely to adopt anti-tobacco stances." Biener received \$220,152 from RWJF for that "study." She is a frequent RWJF grantee who often publishes journal articles with other RWJF grantees.

**Bloom, John L.\***- Tobacco tax policy and international tobacco policy consultant, American Cancer Society. Manager of International Issues for Center for Tobacco-Free Kids. Lawyer and independent policy consultant, wrote "International Interests in U.S. Tobacco Legislation," Policy Analysis No.3, the Health Science Analysis Project of the Advocacy Institute, funded by RWJF and the ACS.

**Blum, Alan** - Founder of anti-tobacco organization DOC [Doctors Ought to Care]. At Baylor University, Houston. An Honorary Board member of Americans for Nonsmokers' Rights Foundation.

**Bristow, Lonnie** - Became president of AMA in June 1995 after serving as AMA board member since 1985. Board member of the American Legacy Foundation 1999 - Member of the federal Interagency Committee on Smoking and Health 1988-1994. Has been quoted as saying he wants to drive the tobacco industry out of business: 'This is what I call a black flag war,' he said of the AMA's [anti-tobacco] campaign. 'You fly a black flag when you mean: no prisoners. We're committed to running the tobacco industry out of town,'" ["For AMA a new leader and direction: Group planning war on tobacco," Jane M. Adams, Miami Herald, 6/13/95, p. 1A].

With only 25% of U.S. physicians as full dues-paying members, only about one-third of the AMA's annual \$200 million budget comes from membership dues. The AMA has become increasingly dependent on federal funding,

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particularly from the Dept. of Health and Human Services and supported the Clinton healthcare proposal ["What's behind AMA support for Clintoncare?" Phyllis Schlafly, Conservative Chronicle 9/23/98]. The AMA has also become increasingly dependent on money from the Robert Wood Johnson Foundation and administers the RWJF multimillion-dollar anti-tobacco SmokeLess States program, and the AMA and JAMA also get pharmaceutical industry funding.

**Burns, David\*** - Prof. of Medicine, UC San Diego. Among RWJF-funded projects is an article on the tobacco settlement in Tobacco Control ["What Should Be the Elements of Any Settlement With the Tobacco Industry?" 6(1):1-4, 1997] A former vice president of California Nonsmokers' Rights Foundation in 1983 (along with Virginia Ernster) when co-founder Stanton Glantz was president of the organization, which later became Americans for Nonsmokers' Rights.

**Burke, James E.** - CEO and Chairman of the Board of Johnson & Johnson from 1976 to 1989 (he joined J&J in 1953 and rose up the corporate ladder to head the corporation). Now a board member of the Robert Wood Johnson Foundation. Also a board member of the RWJF-funded Center on Addiction and Substance Abuse [CASA], Chairman of the Board for the RWJF-funded Partnership for a Drug-Free America, and a board member of the Washington Post.

**Califano, Joseph\*** - President and founder of the Center on Addiction and Substance Abuse [CASA] at Columbia University, which has received primary and massive funding from RWJF. Califano was Jimmy Carter's Secretary of Health, Education & Welfare, 1977-79. An Honorary Board member of Americans for Nonsmokers' Rights Foundation.

**Carol, Julia\*** - Co-Director of Americans for Nonsmokers' Rights and ANR Foundation. Sat on the Koop/Kessler Advisory Committee on Tobacco Policy and Public Health. Also sat on the Special Review Committee, which approved the NCI grant to Richard Daynard to assist in anti-tobacco litigation. Close associate with Stanton Glantz, co-founder and former head of ANR.

**Chaloupka, Frank\*** - Assoc. Prof., economics dept., University of Illinois at Chicago; Faculty Research Fellow, National Bureau of Economic Research, Inc., North Aurora, Illinois. Very heavily funded by RWJF and listed as media contact in RWJF guide for "Tobacco Prices, Restrictions, and Use Among Youth." Chaloupka's study, which was published by none other than the National Bureau of Economic Research, according to a 7/19/96 Tobacco-Free Kids press release for it, found that a 75-cent per pack increase in price would have cut overall youth smoking in half during the 1992-1994 Monitoring the Future Youth Survey years. Wherever there is talk of raising tobacco and alcohol taxes, there is Frank Chaloupka to generate the figures.

Chaloupka is a scientific core group member of RWJF's Research Network on the Etiology of Tobacco Dependence [TERN]. He also wrote Chp. 6 ["Economic Interventions"] for the 1998 S.G.'s report, "The Context for Change: The Efficacy of Interventions for Smoking Prevention and Control," and "Effect of Tobacco Taxation" for the 1994 S.G.'s report. Chaloupka has received funding not only from RWJF, but also from the NCI (for the ASSIST program and other tobacco control projects), from NIDA, from SAMHA, from the CDC, from the ACS, and from the ALA. The Monitoring the Future Youth Survey (on tobacco, alcohol and drug use) is funded by RWJF. He lists himself as a consultant to the World Bank's Human Development Department (1997- ), the American Cancer Society's Tobacco Tax Policy Project (1996 - ), the National Cancer Institute (1991- ), the RWJF (1993 - ), Audits & Surveys (1993 - ), the CDC's Office on Smoking and Health (1993 - ) and the EPA, Indoor Air Division (1994-95).

With Kenneth Warner and others, he wrote "Criteria for Determining an Optimal Cigarette Tax," published in Tobacco Control in 1995 [Vol. 4, No. 4, pp. 380-386]. He is also a

Reviewer for and Associate Editor of ("Economics of tobacco use, tobacco related disease, and tobacco control") Tobacco Control, and he is a member of the Illinois Coalition Against Tobacco, (coalition of ACS, ALA, AHA and others) which received a RWJF grant of \$1 million in 1994.

**Cherner, Joe\*** - Founder and head of SmokeFree Educational Services in NYC. Very active in attempting to push smoking bans in New York as policy chair for the Coalition for a Smoke-Free City. Cherner is one of the zealots who want smoking bans virtually everywhere.

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**Clayton, Richard\***- Director, Center for Prevention Research, University of Kentucky. Program Director for RWJF's Research Network on the Etiology of Tobacco Dependence. Network chair of RWJF's TERN [Tobacco Etiology Research Network]. Clayton has suggested that schools go beyond health messages to "environmental strategies." "For instance, he says, principals could pretend the faculty restrooms are broken, and force teachers to share the students' restrooms, which would mean fewer kids sneaking in there to smoke" ["The Rehooked Generation: How Do We Help Them Stop?", by David Ansley, onhealth.com, 11/19/98]

**Connolly, Gregory** – Dentist. Director, Massachusetts Tobacco Control Program [and the Health Protection Fund], Mass. Dept. of Public Health, in which capacity he oversees a current budget of about \$58 million. Adviser to WHO's Panel on Smoking and Health. Ex-officio member of the board of directors of the American Legacy Foundation. Chair of the RWJF funded 11th World Conference on Smoking & Health (Chicago, 2000). Associate Editor on "Politics of tobacco control" and reviewer for journal Tobacco Control. Involved in tobacco control since ca. 1985. As has RWJF, the "Health Protection Fund" has funded joint work by Michael Siegel and Lois Biener. He also sat on the Special Review Committee for NCI's grant to Richard Daynard to assist in anti-tobacco litigation.

**Cummings, K. Michael\*** - Sr. Research Scientist, Dept. of Cancer Control & Epidemiology, Roswell Park Cancer Institute, Buffalo NY. Also "Research Scientist" with Health Research, Inc. of Buffalo. Listed in RWJF's media guide for his "Assessment of the Effects of New York City's Smoke-Free Restaurant Law on Sales and Consumer Attitudes and Behavior," which would study tax receipt data to determine the economic impact of the ban and would conduct a survey to gauge consumers' and restaurant owners' response to the law and determine steps needed for them to comply with the law. Results were expected in 1998. Cummings received \$183,133 from RWJF for this "study."

Cummings is heavily funded by RWJF and has even co-authored an article with RWJF's C. Tracy Orleans, which was published in the Nov/Dec 1999 issue of the American Journal of Health Promotion. The article focused heavily on cessation treatments and products and advocated more research on cessation as well as continued tobacco tax increases, counter advertising, and anti-tobacco "advocacy" (lobbying). Cummings, has written the same message before (i.e. in his RWJF-funded "Environmental and Policy Influences on Tobacco Use," published in the Winter 1998 issue of Tobacco Control, for which he got a cool \$126,593 from RWJF).

**Curry, Susan J\*** - Scientific Investigator, Center for Health Studies, Group Health Cooperative of Puget Sound, WA. The cooperative has received RWJF funding for "studying" tobacco cessation/control in HMOs. She is listed in the RWJF media guide for her project to examine the cost-effectiveness for HMOs to cover the cost of cessation programs ["Impact of Co-Payments on Use of Smoking Cessation Services in an HMO"].

**Davis, Ron\*** - Director of the Center for Health Promotion and Disease Prevention, Henry Ford Health System in Detroit, MI. North American editor of the British Medical Journal. Former editor of Tobacco Control. Former head of CDC's Office on Smoking and Health. Member of the RWJF-funded 1999 Conference Advisory Committee for the American Association of Health Plans' conference on tobacco control and a presenter at the 2000 conference. Davis is listed as a media contact in RWJF's media handbook and was awarded \$323,254 by RWJF for an "Assessment of Public Opinion on Tobacco Control Policies in Michigan." In the April 24, 1996 edition of JAMA, Davis wrote an editorial listing RWJF's support of tobacco control as one of the major assets of the anti-tobacco "community." He was also the Chair of the Special Review Committee, which approved the NCI grant to Richard Daynard to assist in anti-tobacco litigation.

**Daynard, Richard\*** - President, Tobacco Control Resource Center, Inc., and Chair, Tobacco Products Liability Project, Northeastern University Law School, Boston Mass. Editor of the Tobacco Products Litigation Reporter. He has advised or consulted with most plaintiff attorneys suing tobacco companies over the years and consulted with state attorneys general and private attorneys in the state lawsuits against the tobacco industry, and has sued some of the private attorneys for a share of the money, though he and his TCRC received a \$1.1 million grant from the National Cancer Institute to assist with those lawsuits (and others). He and TPLP have also received funding from the CDC for their hosting of annual conferences for anti-tobacco litigators

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Daynard has also received a hundreds of thousands of dollars in RWJF grants and is listed in RWJF's media guide for his RWJF-funded study, "Analysis of the Implications of the Americans With Disabilities Act for Environmental Tobacco Smoke Policy" (or how to use the ADA to force tobacco ban legislation and also conduct litigation). Associate editor for "Litigation" and reviewer for Tobacco Control. Sat on the RWJF-funded Koop/Kessler Advisory Committee on Tobacco Policy and Public Health. Though he is not an engineer and has no credentials, he has managed to become a voting member of and advisor to ASHRAE [American Society of Heating, Refrigerating and Air Conditioning Engineers]. Advisor to WHO. President GASP Massachusetts since 1983. Board of Directors for STAT [Stop Teenage Addiction to Tobacco] Board of Directors American Nonsmokers' Rights (and ANRF).

**DiFranza, Joseph\*** -Prof. Dept. of family and community medicine, University of Massachusetts (Worcester, Mass). Reviewer for Tobacco Control. He also sat on the Special Review Committee, which approved the NCI grant to Richard Daynard to assist in anti-tobacco litigation. With funding from RWJF DiFranza investigated state compliance with a 1992 law [the Synar Regulation] cracking down on tobacco sales to youth. DiFranza found most states and even the Department of Health and Human Services in violation of the statutory requirements of the law [the results of his police work were published in his article in the Archives of Pediatric and Adolescent Medicine in Oct. 1999].

**Douglas, Cliff**- President, Tobacco Control Law & Policy Consulting, Evanston, Ill.

**Eriksen, Michael** - Director of CDC's Office on Smoking and Health. Served on the Board of Directors of Americans for Nonsmokers' Rights until he resigned in 1991.

**Ernster, Virginia** - Prof. of epidemiology, Dept. of Epidemiology and Biostatistics, School of Medicine, UC San Francisco. Tobacco advertising and women. A Vice President of California Nonsmokers' Rights Foundation in 1983 (along with David Burns), when Stanton Glantz was President of the organization, which later became ANRF. Ernster was given a CA Prop 99 grant of \$528,147 to study "Cigarette Smoking & Facial Wrinkling" [Annual Report to the State of California Legislature 1993, Univ. of California, "Tobacco-Related Disease Research Program"].

**Fiore, Michael\*** - Director, Center for Tobacco Research and Intervention, University of Wisconsin Medical School (Madison, WI). One of principal investigators with RWJF/NCI/NIDA \$14.5 million (RWJF provided \$14 million of the total) Transdisciplinary Tobacco Use Research Centers. Has received funding from Glaxo Wellcome and RWJF. His recent Preventive Medicine article, "Cost-Benefit Analysis of Sustained-Release Bupropion, Nicotine Patch or Both for Smoking Cessation" [Mar 2000, Vol. 30, No. 3], which found that bupropion [Zyban] was a more cost-beneficial smoking cessation intervention than the nicotine patch was funded by Glaxo Wellcome, makers of Zyban.

**Flora, Jane A.\*** - Associate Director, Stanford Center for Research in Disease Prevention, Stanford Univ. School of Medicine. Listed in RWJF media guide for study, "Advertising/Promotion Influences on Adolescent Perceptions of Smoking." More in the "advertising, promotional items, and movies cause kids to smoke" vein.

**Galanter, Marc\*** - Director, Institute for Legal Studies, University of Wisconsin-Madison Law School. Received a \$288,967 RWJF grant in 1994 for "Assessing the Potential Contribution of Lawsuits in Controlling Tobacco Risks."

**Garner, Don\***- Professor of Law, Southern Illinois University at Carbondale, School of Law. Listed on RWJF's media guide and funded by RWJF to write a "Model Municipal Ordinance Prohibiting Cigarette Billboards." Garner helped cities draft legislation to ban tobacco billboards and restrict tobacco ad placement. The "model" ordinance was mailed to 1000 state municipalities and "citizens groups." Garner is also a reviewer for Tobacco Control. Interestingly, back in 1991, prior to getting RWJF funding, Garner said job discrimination against smokers was an unfair labor practice: "' More and more, smokers [comprise] minorities, women and the poor,' he said. 'To take job opportunities away from a population that needs more job opportunities strikes me as an unfair labor practice.'" And he continued in that vein talking about employers dictating the private but unhealthy behavioral choices of employees: "It's in a sense Nazi-like in its extreme desire for purity.... Or do you recognize we all sin, all fall short of the glory of God, and take a softer approach?" ["Bosses Snoop into Private Lives, Alan Sipress, Pittsburgh Press, 4/7/91].

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**Garrison, John** - American Lung Association. Sat on the RWJF-funded “Koop/Kessler” Advisory Committee on Tobacco Policy and Public Health.

**Giovino, Gary** - CDC’s Office on Smoking and Health chief of epidemiology until 1999. Now at Roswell Park in Buffalo, he is a colleague of Michael Cummings. An executive officer of Society for Research on Nicotine and Tobacco [SRNT] and RWJF’s Tobacco Etiology Research Network [TERN]. A Senior Editor of Tobacco Control.

**Goldstein, Adam\*** - Clinical Asst. Professor, Department of Family Medicine, UNC Chapel Hill. Listed as a contact in RWJF’s media guide for “Attitudes of State Legislators Toward Tobacco and Tobacco Control Policies.”

**Gottlieb, Mark\*** - Associate of Richard Daynard on staff of the Tobacco Products Liability Project and Tobacco Control Resource Center at Northeastern University Law School in Boston, Mass. Specialty is use of the Americans with Disabilities Act [ADA] to promote smoking bans and to bring lawsuits.

**Grossman, Michael\*** - Prof. of Economics, City University of New York Graduate School and Research Associate and Program Director of Health Economics Research, National Bureau of Economic Research. A close professional associate of Frank Chaloupka with whom he has conducted numerous studies on tobacco and alcohol taxes and with whom he has published many tobacco-related articles and op-eds and letters to the editor, the subject of which is almost always a call for higher tobacco taxes. He is a member of the National Academy of Sciences, Institute of Medicine (1990- ).

**Gruman, Jesse\*** - Founding Executive Director of the Center for the Advancement of Health (CFAH), begun in 1992 to “promote a view of health that recognizes the role of psychological, behavioral and social factors in personal and public health services.” Prior to becoming CFAH’s CEO, Gruman designed and directed NCI’s anti-tobacco ASSIST program, which developed and funded anti-tobacco coalitions in 17 states (coalitions headed by ACS, ALA, AHA). From 1986 to 1988, Gruman was the National Director of Public Education for the American Cancer Society. The CFAH also publishes the American Journal of Health Promotion.

**Gutman, Marjorie\*** - Director, Prevention Research, Treatment Research Institute, University of Pennsylvania. “Special Consultant” to RWJF for the Tobacco Etiology Research Network [TERN]. RWJF’s Senior Program Officer for the Research Network Initiative on the Etiology of Tobacco Dependence (begun by RWJF in 1996).

**Hafner, Dudley** - American Heart Association. Sat on the RWJF-funded “Koop/Kessler” Advisory Committee on Tobacco Policy and Public Health.

**Harty, Kathy\*** -AMA’s Deputy Director of RWJF’s SmokeLess States Project. Was offered (and presumably accepted) the position of executive director for Minnesota’s \$202 million foundation Partnership for Action Against Tobacco, funded by money from the state’s settlement with the tobacco industry.

**Hatsukami, Dorothy\*** - Prof., Dept. of Psychiatry and Director of the Tobacco Research Program at the University of Minnesota. One of researchers funded by RWJF/NCI/NIDA Transdisciplinary Tobacco Use Research Centers. President of Society for Research on Nicotine and Tobacco [SRNT].

**Henningfield, Jack\*** - Former Chief, clinical pharmacology for the National Institute on Drug Abuse [NIDA]. Now an Assoc. Prof. of behavioral biology at Johns Hopkins University Medical School and Vice-Pres. for Research and Health Policy at Pinney Associates (Bethesda, MD), which does consulting and research and health policy. Associate editor for “Addiction and pharmacology” and a reviewer for Tobacco Control. An officer and past president of the Society for Research on Nicotine and Tobacco [SRNT], and a core member of RWJF’s Tobacco Etiology Research Network [TERN].

**Hobart, Robin** - Co-Director of American Nonsmokers’ Rights Assn. and ANR Foundation.

**Hoffmann, Dietrich** - Associate Director, American Health Foundation, Valhalla, NY. Worked on many U.S. Surgeon General’s reports.

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**Houston, Tom\***- AMA's Director of the Department of preventive medicine and environmental health, in which capacity he develops policy for the AMA and physicians on tobacco and smoking issues and drafts, introduces and testifies on tobacco legislation. Also is National Program Director of RWJF's heavily funded SmokeLess States Initiative.

He acknowledges that he and the AMA get funding from the pharmaceutical industry, specifically makers of cessation products. Also sat on the RWJF-funded "Koop/Kessler" Advisory Committee on Tobacco Policy and Public Health.

**Hughes, John**- Prof. of psychiatry, psychology and family practice, University of Vermont, Dept. of Psychiatry. Cessation [Columbia Journalism Review media handbook says his specialty is "nicotine withdrawal, drug therapies and patches to help people quit smoking."]. Member of and a spokesman for SRNT [Society for Research on Nicotine and Tobacco]

**Hurt, Richard**- Director, Nicotine Dependence Center, and Nicotine Research Center, Mayo Clinic, Rochester Minn. Cessation.

**Kauffman, Nancy, RN\*** - Vice-President of the Robert Wood Johnson Foundation. Represented the RWJF on the federal Interagency Committee on Smoking and Health beginning in 1995. Also assisted with SCARCnet.

**Keeler, Theodore\***- Professor, University of California at Berkeley. Listed in RWJF media guide for "Analysis of Ways to Enhance Smoking Cessation and Deterrence Programs Directed at Individuals," which investigated the effect of government-imposed restrictions on the marketing and availability of smoking cessation aides such as the patch and gum. The study findings were expected in the fall of 1998. [And subsequently the FDA approved J&J's Nicotrol for over-the-counter sale in record time, ahead of its competitors' products.]

**Kelder, Graham\***- Associate of Richard Daynard at Tobacco Products Liability Project and Tobacco Control Resource Center at Northeastern University Law School. His specialty is defending cities and towns in smoking ban lawsuits.

**Kilbourne, Jean**- Media Education Foundation (Northampton, Mass). Author of the Foundation's "Pack of Lies: The Advertising of Tobacco."

**Kropp, Rick\***- Executive Director, North Bay Health Resources Center, Inc in Petaluma, CA. Listed in RWJF's media guide for "Study of Ways to Reduce Tobacco Sales to Minors."

**Lando, Harry** - Professor, division of epidemiology, University of Minnesota. Cessation. A reviewer for Tobacco Control.

**Lee, Philip R.** - Assistant secretary designate for health, DHHS. Oversees FDA, CDC and National Institutes of Health.

**Lichtenstein, Edward** - Researcher, Oregon Research Institute (Eugene, OR). Field is smoking cessation clinics and the role of doctors in cessation. A reviewer for Tobacco Control.

**Manley, Mark** - Office of the Surgeon General, Chief, public health applications, research branch, National Cancer Institute.

**McGinnis, J. Michael\***- In 1997 he was hired as a consultant to RWJF to "help the Foundation expand its knowledge base of effective behavior interventions and their link to advances in biomedicine and human genetics" [RWJF "Advances," Issue 1, 1997]. Prior to being hired by RWJF, McGinnis was Dept. of Health and Human Services, Disease Prevention and Health Promotion deputy assistant secretary for health and was assistant surgeon general. He is also a consultant to the National Academy of Sciences, the WHO, and The Woodrow Wilson School of Government at Princeton.

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**Miller, Leonard S.** \*- Professor, UC Berkeley, School of Social Welfare. Listed in RWJF media guide for a study entitled, "Determining Current Costs of Cigarette Smoking," which estimated the smoking-attributable Medicaid expenditures in all 50 states (in preparation for state suits?). Completed in March 1996, the "study" found that the state expenditures averaged about 5.64 percent of the state Medicaid budgets from 1980 to 1993.

**Montgomery, Kathryn**\* - President, Center for Media Education, Washington, DC. Listed in RWJF media guide for "Analysis of Alcohol and Tobacco Companies' Use of Electronic Communications for Marketing." Results were expected to appear in late 1996.

[And now there is a push to get online tobacco marketing banned--because of the "kids," of course].

**Morrison, Alan**\*- Co-Founder, Public Citizen Foundation, Inc., Washington, DC. Listed in RWJF media guide for "Research on Laws Regulating Tobacco Products," which provided an "analysis of the constitutionality of the FDA's proposal to regulate tobacco products." Naturally, the analysis found that it was perfectly consistent with the Constitution for the FDA to restrict tobacco advertising targeted at youth [and isn't it ALL targeted at youth, according to the antis?], though it questioned whether the FDA could constitutionally compel the tobacco industry to underwrite an anti-tobacco public education program.

**Myers, Matt**\* - Executive Vice President and General Counsel for Campaign for Tobacco-Free Kids. In the early 80s he was in charge of the Federal Trade Commission's tobacco advertising program. According to the 1996 Columbia Journalism Review's "Covering Tobacco" media handbook, Myers was involved "on most of the tobacco legislation to come out of Washington in the last decade." Served for 10 years as staff director of the Coalition on Smoking or Health (anti-tobacco coalition of ACS, ALA, AHA) until it morphed into the RWJF-funded Campaign for Tobacco-Free Kids in 1996.

Was also a partner in the Washington, D.C. law firm of Asbill, Junkin & Myers until 1996. He was a key participant in the initial national tobacco settlement talks, and sat on the RWJF-funded Koop/Kessler Advisory Committee on Tobacco Policy and Public Health.

**Ockene, Judith**- Director, Dept. of Preventive and Behavioral Medicine, University of Massachusetts Medical School in Worcester, Mass, which has received many RWJF grants. An executive officer of Society for Research on Nicotine and Tobacco [SRNT]. Sat on the federal Interagency Committee on Smoking and Health from its first official meetings in 1986 through 1988.

**O'Keefe, Ann Marie**\* - Vice President, Board of Directors, American Nonsmokers' Rights and ANR Foundation. Also with Prospect Associates (recipient of many federal Contracts). Also sat on the review committee for Richard Daynard's NCI grant to assist in anti-tobacco litigation.

**Orleans, C. Tracy**\* - Senior Program Officer for the Robert Wood Johnson Foundation. Associate Editor "Smoking cessation" of and reviewer for Tobacco Control. Has co-edited a book with John Slade ["Nicotine Addiction", NY; Oxford University Press, 1993] and has authored a number of journal articles, both alone and with such big RWJF grantees as Michael Cummings.

**Pertschuk, Mark**\* - President, Board of Directors, American Nonsmokers' Rights and ANR Foundation.

**Pertschuk, Michael**\* - Co-Director and co-founder of the Advocacy Institute (A.I. founded in 1984, the same year the federal Interagency Committee on Smoking and Health was established). Former Chair of the Federal Trade Commission under the Carter administration (1977-81). He was considered too radical by many legislators and was replaced as head of the FTC, though he continued to serve as an FTC commissioner through 1983 and, among other things, monitored tobacco advertising. As a congressional staff member in the 1960s and 70s, he helped develop the legislation for warning labels on cigarette packs and the TV ad ban.

An expert spinmeister, Pertschuk helped develop the "Bork Bork" campaign against the appointment of Robert Bork to the Supreme Court. In 1989 he and the A.I. produced "Media Strategies for Smoking Control: Guidelines" for the NIH (and funded by NIH). The handbook states that behind every story detailing the "risks" of ETS could be found "[a] scientist wise in the way of 'creative epidemiology,' i.e., the presentation of data--both scientifically sound and artful--so as to catch the glint of media attention." The report describes "creative epidemiology" thus: "Michael

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Daube, who coined the term, defines creative epidemiology as ‘the ability of the good epidemiologist to rework data so that what is essentially the same information can be presented in a new and interesting form.’ Thus creative epidemiology marries the science of the researcher with the art and creativity of the media advocate,” (p. 21-22).

Under a \$4 million sub-contract for the National Cancer Institute, he developed and managed the encrypted anti-tobacco electronic communications network SCARCnet. He also developed SCARCnet’s international equivalent, Globalnet. He was also a member of the RWJF-funded “Koop-Kessler” advisory committee on the original tobacco settlement, and the A.I. has directly received funding from RWJF. He is an honorary board member of Americans for Nonsmokers Rights.

**Pierce, John** - The Cancer Prevention and Control Program at UC San Diego. A reviewer for Tobacco Control.

**Pinney, Joe\*** - President of Pinney Associates, Inc., which does private consulting (Jack Henningfield is one of the associates). Formerly at the CDC’s Office on Smoking and Health. One of the primary (and most active) board members of the Society for Research in Nicotine and Tobacco [SRNT]. Pinney Associates has received grant/contract funding from RWJF and Pinney is currently a consultant to SmithKline Beecham for their \$100 million ad campaign for Nicoderm. Pinney has only a B.A. degree.

**Pope, Gregory\*** - Center for Health Economics Research, Inc., Waltham, MA. Listed in RWJF media guide for “Study of the Adoption and Economic Effects of Smoke-free Restaurant Ordinances in Massachusetts.” Results were expected in early 1997.

**Rabin, Robert\*** - Professor of law at Stanford University Law School. Served as Senior Consultant for RWJF’s “Tobacco and substance abuse policy program.”

**Rigotti, Nancy\*** - Founder and Director of Tobacco Research and Treatment Center, Massachusetts General Hospital, Boston MA. A scientific editor for the 1989 S.G.’s report on tobacco, for which Kenneth Warner was the Chief Scientific Editor. Listed in RWJF media guide for RWJF grant on “Does Active Enforcement of Tobacco Sales Laws Reduce Adolescents’ Smoking?” Has done a number of studies funded by RWJF, including: “Impediments to the enforcement of youth access laws” [with Joseph DiFranza, Tobacco Control, 1999 Summer;8(2):152-5]. Also does studies and writes articles such as the Mass. ACS and NCI-funded “The use of nicotine-replacement therapy by hospitalized smokers” [Rigotti, Arnsten J, McKool K, Wood-Reid K, et al, American Journal of Preventive Medicine, Nov 1999;17(4),255-259] supporting use of pharmaceutical cessation products. Was a member of a research team at the Institute for the Study of Smoking, Behavior and Policy at Harvard’s Kennedy School of Government for five years in the 80s until the Institute closed in 1990. With regard to underage smoking: “Since prevention isn’t working, we need to focus on cessation,” [“The Rehooked Generation: How Do We Help Them Stop?” by David Ansley, onhealth.com, 11/19/98]. An executive officer of SRNT.

**Samet, Jonathan** - Chair, Dept. of Epidemiology, Johns Hopkins School of Public Health. Funded by SmithKline Beecham in carrying out China’s Third National Survey on Smoking, which was presented at the 10th World Conference on Smoking and Health at Beijing in August 1997. SmithKline Beecham, world’s leading marketer of smoking cessation products and programs, also funded the Johns Hopkins Institute for Global Tobacco Control in the School of Public Health (launched 5/29/98). Samet was one of the consulting editors for the 1986 Surgeon General’s report [health effects of ETS] released by C. Everett Koop. He is an Associate Editor for “Health effects of tobacco use” and a reviewer for Tobacco Control.

**Schroeder, Steven** - President and CEO of the Robert Wood Johnson Foundation. Recruited by RWJF in 1989 from UC San Francisco (academic home of zealot Stanton Glantz), Schroeder became the president of RWJF in 1990. Under his leadership, by 1991 the foundation developed a new focus on combating tobacco use, alcohol and substance abuse as a priority. Schroeder also is on the staff of the Robert Wood Johnson Medical School at the University of Medicine and Dentistry of New Jersey, where John Slade, a benefactor of many RWJF grants, is also on staff.

In his President’s Message in the 1997 RWJF Annual Report, Shroeder wrote: “In the case of the proposed tobacco settlement, it appears that the Foundation was one of a number of actors that elevated the prominence of tobacco

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as a national policy issue. It accomplished this by funding research, policy analysis, public opinion polls and articulate spokesmen who could highlight the health risks of smoking as well as the addictive nature of tobacco. In addition, The [RWJF-funded] National Center for Tobacco-Free Kids helped to broker the settlement itself.”

Under Schroeder's leadership, the RWJF has pushed for higher and higher tobacco taxes, FDA regulation of tobacco, more anti-tobacco litigation, and more use of and prominence for and access to cessation products (such as Johnson & Johnson's products).

Schroeder is now one of the 11 board members of the American Legacy Foundation, the \$200 million a year anti-tobacco entity created by the tobacco settlement and funded by American smokers via higher tobacco product prices. Also on the board are Kenneth Warner, who has been so heavily funded by RWJF that he might as well be an employee, and Lonnie Bristow, former president of the American Medical Association, which is heavily funded by RWJF and the pharmaceutical industry. Since RWJF's primary worth is its ownership of Johnson & Johnson stocks (it is the single largest shareholder), Schroeder's presence on the ALF board of directors seems like a major conflict of interest.

**Seffrin, John** - Executive & Chief Staff Officer, American Cancer Society. Was a member of the federal Interagency Committee on Smoking and Health 1988 -1993. The ACS is the named recipient of many of RWJF's SmokeLess States awards and is also the lead non-governmental head of most state anti-tobacco coalitions, funded by RWJF, NCI (ASSIST) and CDC (IMPACT). Also sat on the RWJF-funded "Koop/Kessler" Advisory Committee on Tobacco Policy and Public Health at the time of the original tobacco settlement.

**Shiffman, Saul** \*- Prof. of psychology, smoking research group, University of Pittsburgh. Is a member of RWJF's TERN [Tobacco Etiology Research Network] and the Society for Research on Nicotine and Tobacco [SRNT].

**Shopland, Don**- Coordinator of the National Cancer Institute's Smoking & Tobacco Control Program. He has worked on every Surgeon General's report to come out since the first one in 1964 and was Acting Director of CDC's Office on Smoking and Health from 1985-87 and as such helped initiate the Interagency Committee on Smoking and Health, which began in 1986. Actively involved with NCI's ASSIST program. A reviewer for Tobacco Control.

**Siegel, Michael** - Research Associate, Social and Behavioral Sciences Department, Boston University School of Public Health. He spent two years at the CDC's Office on Smoking and Health, and prior to that was Preventive Medicine resident at UC Berkeley. Member of the Board of Directors of American Nonsmokers' Rights Foundation until he resigned early in 2000, possibly because of the public emergence of the news of ANR's tax-funded "enemies" list, though his resignation came immediately after he was challenged over a false accusation against Robert Levy of the Cato Institute which appeared in an article (signed by Siegel) on ANR's website. The article itself proposed using smears to deflate scientific challenges to anti-tobacco junk science rather than debating on scientific grounds. A reviewer for Tobacco Control, Siegel has also worked with Lois Biener to do studies, which support Massachusetts' heavily funded anti-tobacco program, which is headed by Greg Connolly.

**Sitzer, Maxine** - Prof. Dept. of Psychiatry and Behavioral Sciences, Johns Hopkins Univ. School of Medicine. Committee Chair, Society of Research on Nicotine and Tobacco (Scientific Liaison: Public Policy Council).

**Slade, John**\* - Assoc. Prof. Dept of Med., Univ. of Medicine & Dentistry of New Jersey, Robert Wood Johnson Medical School (St. Peter's Medical Center). Slade has been a key organizer of an annual addiction medicine conference, and has promoted FDA regulation of tobacco since the late 80s. He has received numerous RWJF grants and co-edited a book with RWJF's C. Tracy Orleans ["Nicotine Addiction," NY: Oxford University Press, 1993]. Is listed in RWJF's media guide for his "Analysis of Whether Tobacco Meets the Legal Definition of a Drug," which Slade says it does. The analysis was submitted to the FDA in 1996 on behalf of the American Society of Addiction Medicine. Slade is also an Associate Editor, "Legislation and regulation" and reviewer for Tobacco Control.

**Sopenski, Judy**\*- Executive Director of Stop Teenage Addiction to Tobacco [STAT], which was among the first organizations to be given a tobacco control grant from RWJF when tobacco became one of its focus areas in 1991-92. STAT is based in Springfield, Mass. and is one of the first anti-tobacco programs to utilize children in its activist

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approach. Also sat on the RWJF-funded “Koop/Kessler” Advisory Committee on Tobacco Policy and Public Health.

**Sweda, Ed\***- Associate of Richard Daynard at the Tobacco Control Resource Center and the Tobacco Products Liability Project at Northeastern University Law School in Boston. His specialty is ETS lawsuits.

**Thun, Michael** - American Cancer Society vice president for Epidemiology and Surveillance Research. A reviewer for Tobacco Control.

**Warner, Kenneth\*** - Prof. and Chair, Dept. of Public Health Policy and Administration, Univ. of Michigan at Ann Arbor. Warner is a reviewer for Tobacco Control and has received enormous RWJF funding and is listed in RWJF media guide for his study, “The Employment Implications of Declining Tobacco Product Sales for the Regional Economies of the U.S.” Essentially, the study says that there won’t be economic loss in most states if tobacco goes under because people will spend the money on other things. It acknowledges that the 6 major tobacco-producing states would be affected, but that it wouldn’t be as bad as expected and the gains in other states would be worth the loss in the Southeast. Warner has continually worked on studies, which minimize the economic effects of tobacco bans and declining tobacco sales. The most recent was in the Spring 2000 issue of Tobacco Control [“The economics of tobacco: myths and realities,” 9:78-89]. It was funded by the RWJF and Warner thanks Frank Chaloupka and Gary Giovino for their assistance.

In addition to multiple grants, Warner heads one of RWJF’s continuing programs and is an apparently tireless flack for raising prices and for widespread use of cessation products (including medical insurance coverage of them). He was quoted in the L.A. Times Washington Edition [“Tobacco Ads’ Impact Debatable, Except to Some Lawmakers,” Alissa Rubin, 3/19/98]: “Increasing the price of cigarettes--a lot--is far and away the most important thing we can do to reduce youth smoking.” His RWJF-funded study on the “Cost Effectiveness of Smoking Cessation Therapies: Interpretation of the Evidence and Implications for Coverage,” appeared in *Pharmaco-Economics* in 1997 [11(6):538-549]. Another RWJF-funded study touting nicotine replacement products by Warner, John Slade (another RWJF grantee) and David Sweanor of the Canadian Nonsmokers’ Rights Association appeared in *JAMA* in 1997 [“The emerging market for long-term nicotine maintenance,” 278( 13):1087-1092]. The article depicted the tobacco industry as the bad guys who were trying to maintain nicotine dependence and the pharmaceutical industry as the good guys who were delivering nicotine therapeutically to help people quit smoking or who would vie with the tobacco industry for the long-term nicotine maintenance market.

Warner is one of the 11 members of the board of the American Legacy Foundation, along with RWJF CEO Steven Schroeder, which essentially means RWJF gets two votes on every issue and that RWJF has VERY heavy input in policy decisions. Will ALF become a \$200 million vehicle for promoting Johnson & Johnson’s (and other pharmaceutical companies’) cessation products?

**Whelan, Elizabeth\***- President of American Council on Science and Health [ACSH], a private public policy advocacy group funded by various special interests, including the chemical and pharmaceutical industries and foundations such as RWJF (Whelan says foundation grants account for 40-55% of ACSH funding for any given year). Whelan is a close personal associate of C. Everette Koop and supported his going after tobacco. ACSH’s “Tackling Tobacco” project is funded by Nicorette gum, a product of SmithKline Beecham. Whelan is quick to defend the pharmaceutical industry and just as quick to attack tobacco.

**Wilbur, Phil\*** - Advocacy Institute and R.O.W. Sciences.

**Wolfe, Chuck** - Current director of the American Legacy Foundation. Head of Florida’s “Truth” campaign during its first year when one of its tactics involved encouragement of teens making harassing phone calls as a form of tobacco control. Resigned (reportedly under pressure) in the spring of 1999.

## List of Organizations, Institutions, Companies & Groups Funded by Robert Wood Johnson Foundation Grants & Contracts

(Compiled by Wanda Hamilton)

### Introduction

The Robert Wood Johnson Foundation (RWJF) is the world's wealthiest foundation devoted to health issues. RWJF was named after the former head of Johnson & Johnson (J&J), the world's largest health and medical care products conglomerate, and most of its wealth comes from J&J stock holdings. In 1992, the foundation was worth more than \$3 billion, with more than \$2 billion of that in J&J stock -- by 1998 it was worth nearly \$8 billion, with more than \$5 billion in J&J stock.

It was in 1992 that the RWJF began to focus on eradicating substance abuse and tobacco use in America. Toward that end, in 1992 it spent roughly \$53 million (out of a total of nearly \$230 million) in grants, contracts and programs. By 1998, it spent more than \$73 million (of its total of nearly \$350 million) in grants, contracts and programs for the year on substance abuse and tobacco control. Some of the tobacco-related funding promotes nicotine research and smoking cessation, which in turn benefits Johnson & Johnson, one of the biggest producers of smoking cessation products.

Below is an alphabetical list of just some of the organizations, programs and groups that have received funding from the RWJF between 1992 and the first half of 1999. Most of those listed have received RWJF funding for tobacco prevention projects.

### Some Organizations, Institutions, Companies & Groups Funded by Robert Wood Johnson Foundation Grants & Contracts (Compiled by Wanda Hamilton)

**Advocacy Institute** - [AKA Institute for Public Policy Advocacy] Co-founded by Michael Pertschuk. Trains anti-tobacco activists and provides communications networks SCARCnet and Globalink for them. The RWJF has funded a number of anti-tobacco projects of the Advocacy Institute, including in 1998 (in conjunction with the American Cancer Society) "A Movement Rising: A Strategic Analysis of U.S. Tobacco Control Advocacy." RWJF also funded the A.I.'s "Health Science Analysis Project," which included various policy papers, including one on "International Interests in U.S. Tobacco Legislation" by John L. Bloom, the Manager of International Issues for the National Center for Tobacco-Free Kids. The Advocacy Institute has also worked to help state anti-tobacco coalitions get major funding from the states' tobacco settlement money with "Securing the Funds," a collection of "resources" to help lobby for money for tobacco control programs. When SCARCnet stopped producing its daily news bulletin for the anti-tobacco network at the end of January 2000, the "consultants" who had worked with them were listed:

- *Michelle Block* of the National Cancer Institute; Eric Gally, Consultant;
- *Karen Lewis* of the World Health Organization's Tobacco Free Initiative;
- *Bob Jaffe* of Washington DOC; Joel Papo of the Council on Economic Priorities;
- *Russ Sciandra* of the Center for a Tobacco Free New York;
- *Karla Sneegas* of Indiana, Consultant;
- *Phil Wilbur* of ROW Sciences (a recipient of many contracts from the CDC and NCI) who also has an address at the Advocacy Institute and who produced a "directory of tobacco-industry allies, organizations, scientists, lobbyists, lawyers, and public relations experts from a tobacco control point of view." The

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message on the closing of SCARCnet was signed by Michael Eriksen, Director of the CDC's Office on Smoking and Health;

- *Chuck Wolfe*, Executive Vice-President of the American Legacy Foundation;
- and *Nancy Kaufman*, Vice-President, Robert Wood Johnson Foundation.

**Advisory Committee on Tobacco Policy and Public Health** - The Koop-Kessler committee commissioned by a congressional cadre of anti-tobacco legislators in response to the tobacco settlement. Koop and Kessler handpicked the participants:

- *John Banzhaf* of ASH;
- *Michael Pertschuk*, Co-Director of the Advocacy Institute;
- *John Seffrin* of the American Cancer Society;
- *Dudley Hafner* of the American Heart Association;
- *John Garrison* of the American Lung Association;
- *Julia Carol* of Americans for Nonsmokers' Rights;
- *Matt Myers* of the Center for Tobacco-Free Kids;
- *Jesse W. Brown* of the Onyx Group;
- Jeff Nesbit of the Science and Public Policy Institute;
- *Thomas Houston*, of the AMA and the Robert Wood Johnson Foundation Smokeless States Program;
- *Judy Sopenski* of Stop Teenage Addiction to Tobacco;
- and *Richard Daynard* of the Tobacco Products Liability Project.

With the possible exception of the Onyx Group, all of these organizations have received substantial anti-tobacco funding from the RWJF. The committee met three times and produced a report to present to President Clinton and Congress. The report itself is a frightening compendium of the most extreme methods for national and international "tobacco control," which mentions the words "ban" and "funding" throughout. The RWJF provided \$25,000 in funding for the advisory committee via the Science and Public Policy Institute.

**American Academy of Arts and Sciences** - RWJF provided the Academy with \$50,000 in 1992 for "Planning for an initiative to seek more prominence for children's issues." The prevention of underage smoking has become a national issue for which billions of dollars are spent.

**American Association of Health Plans** - RWJF has provided a number of grants to this association of HMOs, including grants involving tobacco control and smoking cessation. One of the RWJF national programs is Addressing Tobacco in Managed Care. One of the main thrusts of the RWJF funding is to push for HMO coverage of cessation products.

**American Cancer Society** - The primary recipient for RWJF's SmokeLess States awards.

**American Council on Science and Health [ACSH]** – Receives much of its funding from the pharmaceutical industry and the RWJF. ACSH's "Tackling Tobacco" program is funded by SmithKline-Beecham, makers of Nicorette and Nicoderm. Though ACSH's Elizabeth Whelan is quick to defend pharmaceutical and chemical companies, she and her organization are very vocal against tobacco and the tobacco industry. Whelan recently wrote a piece criticizing the New England Journal of Medicine for listing pharmaceutical industry funding in studies it publishes. She said research should stand on its own, regardless of funding, though she does not feel that way, of course, when it comes to tobacco industry funded research. Whelan claimed the NEJM itself got \$30 million in ad revenues (its major source of funding) from the pharmaceutical industry. RWJF gave ACSH \$204,465 for a "study of perspectives of U.S. leaders on tobacco policy." Interestingly, RWJF funded Adam Goldstein of UNC at Chapel Hill for another study on this same topic, except at the state level: "Attitudes of State Legislators Toward Tobacco and Tobacco Control Policies."

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### **A. *American Lung Association***

**American Medical Association** - Receives funding for administering the RWJF SmokeLess States program. The AMA's Tom Houston, the director of the program, has acknowledged that he and the AMA get pharmaceutical industry funding.

**American Political Network, Inc.** - \$647,008 for "Daily News Service on Health Care Issues"

### **B. *Americans for Nonsmokers Rights***

### **C. *Alliance for Health Reform***

**Audits and Surveys** - Located in New York, this outfit was awarded \$673,300 in 1995 for one year for a "National study in support of youth anti-tobacco programs."

**British Medical Journal** - Not only have the BMJ's "Tobacco Control" and its North American editor Ron Davis received considerable RWJF funding, but the BMJ itself has "requested funding from the foundation to support enhanced dissemination of information on chronic disease care through the journal and its website," according to an editorial disclaimer in the BMJ Feb. 26, 2000 issue.

**Carter Center** - At Emory University received \$78,340 for 6 months in 1992 to develop a "Tobacco Tax Policy Task Force."

**'Cause Children Count' Coalition** - A joint effort by American Cancer Society and RWJF, this coalition was given a 4-year grant in 1997 for \$705,000

**Center for Health Economic Research, Inc.** In Waltham, Mass. Media contact listed is Gregory Pope. RWJF grant was for "Study of the Adoption and Economic Effects of Smoke-free Restaurant Ordinances in Massachusetts." Results were expected in 1997.

**Center for Media Education**, Washington, D.C. - \$130,520 in 1997 for "Tracking and analyzing online marketing of tobacco and alcohol products."

**Center for Science in the Public Interest** - One of Ralph Nader's most outrageous groups, this is the one coming out strong against movie popcorn, Chinese and Mexican food, coffee, tobacco and alcohol. The RWJF grant involved alcohol in this case.

**Center for Survey Research** - At the University of Massachusetts in Boston. Lois Biener, who is listed by RWJF as a media contact, has been a frequent recipient of RWJF cash and has published with other frequent RWJF recipients. Biener produced a "Survey on Responses to the Massachusetts Tobacco Control Program" for RWJF.

**Center for the Advancement of Health** - Website is [www.cfah.org](http://www.cfah.org). Jessie C. Gruman is the founding executive director of this health policy institute founded in 1992 by the John D. and Catherine T. MacArthur Foundation and the Nathan Cummings Foundation "to promote a view of health that recognizes the role of psychological, behavioral and social factors in personal and public health services," as their website states. Prior to becoming CFAH's CEO, Gruman designed and directed NCI's \$150 million anti-tobacco ASSIST program, which developed and funded anti-tobacco coalitions in 17 states. Before going to NCI, from 1986 to 1988 Gruman was the National Director of Public Education with the American Cancer Society, which received funding from the ASSIST program. Apparently CFAH is the publisher of the American Journal of Health Promotion at [www.healthpromotionjournal.com](http://www.healthpromotionjournal.com) CFAH has received several RWJF grants, one of which in 1998 was for "Coordination for youth tobacco cessation partnership."

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**Coalition to Raise Tobacco Tax in California** - RWJF apparently provided the seed money to form the coalition of people from the entertainment industry, the American Cancer Society and the usual suspects which resulted in Rob Reiner's Prop 10.

**Columbia University Graduate School of Journalism** - Received \$294,680 for "journalists' briefings on health." The Columbia School of Journalism also produced a heavily biased journalists' handbook on tobacco coverage ("Covering Tobacco") which listed many of RWJF's key recipients of grants as sources on tobacco control: Richard Daynard, Matt Myers, Ronald Davis, Michael Pertschuk, John Slade, Thomas Houston, Joseph Califano, Judy Sopenski, Jack Henningfield, Dr. John Pierce, Frank Chaloupka, Kenneth Warner and others. RWJF also had its own media guide, produced about the same time.

**Consumer Union of the United States, Inc.** - Publishers of *Consumer Reports*.

**Cultural Environment Movement** - This Philadelphia-based group received roughly half a million [\$491,273 for 2 years] in 1995 to study alcohol, tobacco and illegal drugs in media mainstream: trends and content.

**Development Communications Association, Inc.** - Based in Boston, this association received more than \$270,000 for one year for "resource development for a national public education effort to reduce tobacco use by youth."

**Entertainment Industries Council** - Received \$738,222 in 1999 and \$788,222 in 1998 for encouraging "accurate" (i.e. negative) depiction of tobacco use and alcohol and substance abuse in the entertainment industry and for such things as NIDA's Prism Awards for negative portrayal of tobacco, alcohol and drug use in movies and on TV.

**Harvard University School of Public Health** - Has received MANY grants, including one for \$50,000 to assist in the production of former NYT anti-tobacco reporter Phil Hilts' book demonizing the tobacco industry. **Harvard School of Public Health** also is the recipient of funding for RWJF's continuing program, "Free to Grow."

**Hayes, Domenici & Associates** - An 8-month \$65,522 grant for a conference on women and smoking.

**Health Research, Inc.** - Michael Cummings, who has received MANY grants from RWJF under his Health Research, Inc. hat and under his Roswell Park Cancer Institute hat.

**Institute for Health Policy Studies** - at Univ. of California at San Francisco. The IHPS houses Stanton Glantz and Lisa Bero, both of whom have done considerable anti-tobacco work. The RWJF grants list Lisa Bero as the principal researcher.

**Join Together** - Housed at the Boston University School of Public Health and funded by RWJF, Join Together is a part of RWJF's Partnership for a Drug-Free America. Though it ostensibly is about substance abuse of all sorts, there has been definite focus on tobacco. Join Together in turn funds Gene Borio's [anti]Tobacco Bulletin Boards and RWJF's QuitNet. Join Together received a \$2.4 million renewal award in the second quarter of 1999 alone.

**Mathematica Policy Research, Inc.** - Princeton, NJ Received more than \$1.3 million to conduct various tobacco-control related surveys and to help various state anti-tobacco coalitions disseminate their results.

**National Foundation for the Centers for Disease Control** - Like private foundations for the UN and WHO, does this one fund support for increased funding and a back door for private funding? Among the grants to the foundation by RWJF was one of \$471,714 for "Counter-marketing tobacco use to teens initiative."

**National Academy of Sciences** - RWJF gave \$1,125,000 to the NAS in 1992 for various projects, including one on "nicotine dependence in children." The NAS's most recent publication against tobacco was funded in part by pharmaceutical money, but not RWJF or J&J. However, at least half of the NAS's "independent reviewers" for the project were RWJF regulars, including Frank Chaloupka, Jack Henningfield, Thomas Houston, and Matt Myers. Stanton Glantz was also one of the reviewers.

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**National Bureau of Economic Research, Inc.** - Frank Chaloupka gets many RWJF grants for his work with this Chicago-area outfit, as well as his work at the Dept. of Economics at the University of Illinois at Chicago.

**National Center on Addiction and Substance Abuse** - [CASA] RWJF is a major funder of this Columbia University-based organization, headed by Joseph Califano. Among the Board members is David Kessler. One of the Founding Directors was former Johnson & Johnson CEO James E. Burke, who is also one of the board members of the RWJF. Tobacco is one of the target areas of CASA, which received \$13,197,250 (for 3 years) in 1998 from RWJF.

**National Education Association** - The powerful national teachers' union. RWJF gave the NEA half a million in 1997 for a two-year "Teacher, youth, and parent tobacco control advocacy program."

**North Bay Health Resources Center** - Rick Kropp is the executive director of this center.

**Partnership for a Drug-Free America** - An ongoing RWJF-funded organization, which is connected to Join Together and QuitNet.

**Pinney Associates, Inc.** - A private consulting firm led by Joe Pinney, formerly of CDC's Office on Smoking and Health. Jack Henningfield of Johns Hopkins is one of the consultants. Pinney Associates has received money from RWJF and Pinney is also a consultant for SmithKline-Beecham, makers of Nicoderm and Nicorette.

**Prospect Associates** - 1997 contract for \$51,588 for study on feasibility of involving Major League Soccer in youth anti-tobacco programs, and \$53,200 for a 1997 national conference of "state-level tobacco prevention professionals." Prospect Associates is also a favorite of the National Cancer Institute and was given a \$15,672,514 contract to establish a "coordinating center for the ASSIST anti-tobacco program. The NCI specified that the Advocacy Institute should be sub-contracted by Prospect Associates to establish SCARCnet. Anne Marie O'Keefe, member of the board of directors of Americans for Nonsmokers' Rights and member of the NCI's review committee for its million-dollar grant to Richard Daynard, is associated with Prospect Associates.

**Public Broadcasting Service** - Many, many grants from the RWJF. Some related grants: WGBH Educational Foundation (\$10,189,229 for "Support for PBS's the Health Quarterly television series"); Educational Broadcasting Corporation (\$4,380,107 for the "Production, promotion and outreach for a public television series on addiction and recovery." This highly advertised series, which featured a segment on tobacco "addiction," was hosted by Bill Moyers; Hedrick Smith Productions, Inc. (\$150,000 for "Outreach for a PBS series using the tobacco lobby as an example of systemic problems facing government"--outreach = promotion and advertising). RWJF also funded the production of various ongoing segments on a PBS radio series, some of which involved tobacco and the demonization of the tobacco industry, including Ned Roscoe's Cigarettes Cheaper chain.

**Public Citizen Foundation, Inc.** - RWJF funding for an analysis of the constitutionality of the FDA's proposal to regulate tobacco products. RWJF-funded media contact for "Research on Laws Regulating Tobacco Products" is listed as Alan Morrison, Co-Founder, Public Citizen Foundation, Inc., Washington, D.C. The Columbia School of Journalism handbook for covering tobacco also lists Public Citizen as a "Health Research Group" which reports on "how tobacco industry giving affects policy and lawmaking, including how much each legislator gets." Dr. Sidney Wolfe is listed as its director.

**QuitNet** - RWJF-funded website for smoking cessation. See Join Together.

**Roswell Park Cancer Institute** - Has received numerous RWJF grants, especially to Michael Cummings (see also Health Research, Inc.). Gary Giovino, formerly of CDC Office on Smoking and Health, is now at Rosewell Park.

**Science and Public Policy Institute** - See Advisory Committee on Tobacco Policy and Public Health.

**SmokeFree Educational Services** (New York) - Joe Cherner's private anti-tobacco organization. RWJF gave \$50,000 for anti-tobacco ads on NY taxis.

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**Society for Research in Nicotine and Tobacco [SRNT]** – RWJF provided \$199,656 for SRNT journal "Nicotine and Tobacco." Prominent in SRNT are members of John Pinney Associates, including Pinney, Jack Henningfield (an executive officer), Jane Pellitier (an executive officer). Other executive officers are Nancy Rigotti (frequent RWJF grantee) Judith Ockene, and Gary Giovino.

**Stop Teenage Addiction to Tobacco [STAT]** - One of the first organizations funded specifically for tobacco control by RWJF. Based in Springfield, Mass, STAT's executive director is Judy Sopenski. STAT is now found in most state anti-tobacco programs, which have increasingly focused on youth.

**Strategic Consulting Services** - Small grant (\$12,175) for facilitating a 1996 RWJF Science Conference on the prevention of tobacco use.

**Tobacco Control** - A publication of the BMJ, this journal of, by, and for the anti-tobacco community has received funding from RWJF. In 1999 it received a renewal grant of \$452,641 via U.S. editor Michael Cummings' Health Research Inc. Many of its editors and reviewers are recipients of RWJF funding as well. Among the editors who have received major RWJF funding are Michael Cummings, Frank Chaloupka, Richard Daynard, Jack Henningfield, John Slade, and RWJF's own C. Tracy Orleans. Among the reviewers (the "peer reviewers" for the journal) are all the above plus Lisa Bero, Joe DiFranza, Michael Siegel, David Sweanor, and Ken Warner, all of whom have been the beneficiaries of RWJF funding.

**Tobacco Control Resource Center, Inc.** - The center and its director Richard Daynard have received a number of grants from RWJF, as well as grants from the CDC and NCI. The TCRC specializes in litigation against the tobacco industry as a method of tobacco control. In other words, regulation through litigation. Among the projects RWJF funded was one, which investigated the possibilities of using the Americans With Disabilities Act to enforce smoking bans.

**Tobacco Etiology Research Network [TERN]** - This is a fully funded RWJF national program. Some of the core group members are RWJF regulars: Frank Chaloupka, Jack Henningfield, and Richard Clayton. Those listed as "For The Robert Wood Johnson Foundation" are: Marjorie Gutman, Director of Prevention Research Treatment Research Institute at the University of Pennsylvania ("Special Consultant" to RWJF), Nancy Kaufman, Denis Prager. Gary Giovino was a core group member when he was Chief of the Epidemiology Branch of the Office on Smoking and Health at CDC.

**Tobacco Free Kids Coalition** - [or **Center for Tobacco-Free Kids**] is an ongoing RWJF project, primarily in conjunction with the ACS, ALA and AHA. RWJF provided \$20 million initially for its establishment. Matt Myers is the organization's director and primary mouthpiece, especially after William Novelli departed in early 2000 to go with the AARP.

**United Nations Association of the U.S., Inc.** - Though RWJF seldom funds foreign projects, it did provide a 10-month grant of \$49,900 to the association for an international conference on global drug policy.

**University of Massachusetts Medical Center** - (Worcester, Mass) Numerous grants, including one for \$25,000 in 1992 for a study on ETS and children.

**University of Michigan School of Public Health** - (Ann Arbor) Massive funding, especially for work involving Ken Warner, who directs one of RWJF's programs.